

Docket No. 216009US3PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kenichi OTANI, et al.

SERIAL NO: 09/926,690

GAU: 1722

FILED: December 3, 2001

EXAMINER: Donald HECKENBERG, Jr.

FOR: MOLD FOR PAPER-MAKING

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

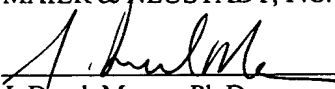
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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OCT 31 2003

SHEET 1 OF 1

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

216009US3PCT

SERIAL NO.

09/926,690

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kenichi OTANI, et al.

FILING DATE

December 3, 2001

GROUP

1722

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE       | NAME        | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|------------|-------------|-------|--------------|-------------------------------|
|                     | AA | 2,961,043          | 11-22-1960 | J. W. Hicks |       |              |                               |
|                     | AB |                    |            |             |       |              |                               |
|                     | AC |                    |            |             |       |              |                               |
|                     | AD |                    |            |             |       |              |                               |
|                     | AE |                    |            |             |       |              |                               |
|                     | AF |                    |            |             |       |              |                               |
|                     | AG |                    |            |             |       |              |                               |
|                     | AH |                    |            |             |       |              |                               |
|                     | AI |                    |            |             |       |              |                               |
|                     | AJ |                    |            |             |       |              |                               |
|                     | AK |                    |            |             |       |              |                               |
|                     | AL |                    |            |             |       |              |                               |
|                     | AM |                    |            |             |       |              |                               |
|                     | AN |                    |            |             |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | TRANSLATION |    |
|--|----|--------------------|------|---------|-------------|----|
|  |    |                    |      |         | YES         | NO |
|  | AO |                    |      |         |             |    |
|  | AP |                    |      |         |             |    |
|  | AQ |                    |      |         |             |    |
|  | AR |                    |      |         |             |    |
|  | AS |                    |      |         |             |    |
|  | AT |                    |      |         |             |    |
|  | AU |                    |      |         |             |    |
|  | AV |                    |      |         |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | AW |  |
|  | AX |  |
|  | AY |  |
|  | AZ |  |

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OSMM&N File No. 216009US3PCT  
Serial No. 09/926,690

Dept.: E/M

By: JDM/KDP/mac

In the matter of the Application of: Kenichi OTANI, et al.

For: MOLD FOR PAPER-MAKING

Due Date: April 24, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Check for \$180.00
- ☒ Dep. Acct. Order Form
- ☒ Information Disclosure Statement
- ☒ PTO-1449
- ☒ Cited References (1)



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